

A Study on the Methodology of Integrating Archaeological Heritages into Planning

- Through a Case Study in the Greater Lumbini, Nepal -

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Abstract:

Planning in a rich archaeological region requires careful consideration for integrating not only visible heritages on the ground but also potential heritages under the ground into planning. Lumbini, the birthplace of Lord Buddha, is the World Cultural Heritage in Nepal. Tilaurakot, the world cultural tentative site, the archaeological remains of ancient Shakya Kingdom, Ramagrama, the world cultural tentative site, the only undisturbed original stupa of his relics, and other potential archaeological sites, mostly unknown, are in so-called “the greater Lumbini”, where Kapilbastu, Rupandehi and Nawalpalasi Districts are. The UNESCO project funded by the Government of Japan, “Strengthening Conservation and Management of Lumbini”, clarified preservation and management of the World Heritage property of Lumbini can only be successful if the preservation and management challenges of the cultural landscape and sites that surround the property are addressed at the same time. However, there is a paucity of survey on archaeological inventories, how many sites in the Greater Lumbini. The purpose of this study is to demonstrate methodological considerations in planning how to integrate archaeological heritages into planning through the case study in the part of Greater Lumbini, Kapilbastu and Rupandehi districts, as we have done in the second phase of the UNESCO project from 2014 to 2017.

Keywords:

Archaeological Heritages, Regional Planning, Methodology, Lumbini, UNESCO

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1. Introduction

Lumbini, the birthplace of Lord Buddha, has been on the world cultural heritage list in Nepal since 1997. Tilaurakot on the world cultural tentative list of Nepal as the archaeological remains of ancient Shakya Kingdom, Ramagrama on the world cultural tentative list of Nepal as the only undisturbed original stupa of his relics, and other archaeological sites that are mostly unknown, are in so-called “the greater Lumbini”, where Kapilbastu, Rupandehi and Nawalpalasi Districts are. The UNESCO project of phase I (2010-2013) funded by the Government of Japan, “Strengthening Conservation and Management of Lumbini”, clarified preservation and management of the world heritage property of Lumbini can only be successful if the preservation and management challenges of the cultural landscape and sites that surround the property are addressed at the same time. However, as there is a paucity of survey on archaeological inventories, how many sites in the Greater Lumbini are not clarified.

It is essential for planners to collect basic data and maps in order to conserve cultural heritage sites such as a rich archaeological region. Moreover, topographical map tends to be too old or inadequate to use today. We, as a planning team of the project, have to start from collecting information by on-site survey in order to integrate archaeological heritages into planning in the Greater Lumbini. This paper, developing our previous paper¹⁾, demonstrates methodological considerations in planning how to integrate archaeological heritages into planning through the case study in the part of Greater Lumbini, Kapilbastu and Rupandehi districts in Tarai region, as we have done in the second phase of the UNESCO project from 2014 to 2017.

2. Methodology

We conducted our survey on integrating heritage sites within Kapilbastu and Rupandehi districts into planning by the following three orders as Fig.1 shows:

- Layer 1: Mapping the sites
- Layer 2: Conservation planning
- Layer 3: Development planning

The layer 1 is conducted as archaeological inventory survey of literature reviews and on-site survey with local archaeologists and surveyors using GPS (Global Positioning System). The output from the survey develops on GIS (Global Positioning System) with the up-date topographical data from the high-resolution images donated by Japan Aerospace Exploration Agency (JAXA).

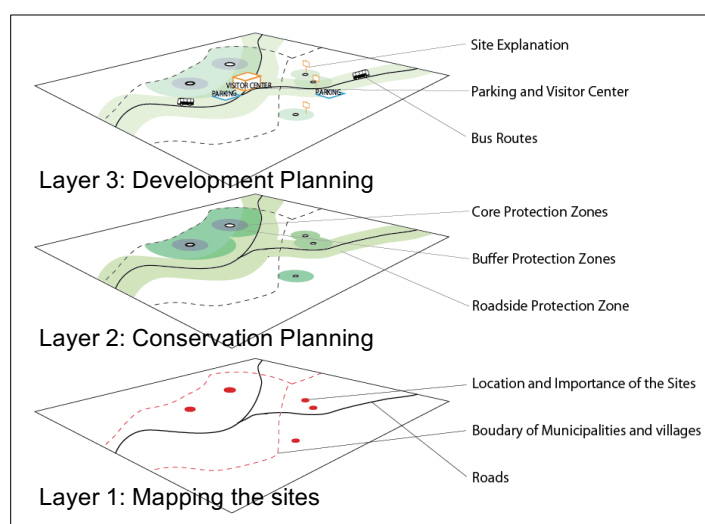


Fig.1 The methodology for the integrated planning

The layer 2 is conservation planning with a property and its buffer zone, where building control reaches. The layer 3 is development planning, including tourism development such as parking and visitor/vehicle routes based on archaeological mapping and conservation planning (Layer 1 and 2). The following three chapters state the method by each layer so that this paper demonstrates methodological considerations in planning how to integrate archaeological heritages into planning through the case study.

3. Layer 1: Mapping the sites

A location of archaeological sites is essential information for planning. Also, a map with the latest information should be its base map. The latest one, however, was published by Department of Survey in 1993 with the scale of 1:25,000. Therefore, principally we had to start from up-dating map. ALOS-1 data, Advanced Land Observing Satellite data from January 2006 to April 2011, contributing by Japan Aerospace Exploration Agency, we decided to work on GIS based on the ALOS-1 image. Regarding a location of archaeological sites, we had to gather information and survey on site. Following three steps are considered and each step is demonstrated in the following sections: STEP 1 (Preparing the List of Research Sites), STEP 2 (Recording the Sites), and STEP 3 (Mapping them and Creating Database).

3.1 Preparing the List of Research Sites (STEP 1)

Firstly, we looked at the literature reviews, which demonstrated explorations in the Greater Lumbini region both by international and national archaeologists (Table 1). The latest exploration was consisted with the largest numbers of sites, 116 sites, in 1997 by the Italian team with local authorities (Verardi, 2007). The location of 116 sites, however, was not precisely plotted on a map. Basically, we list all sites from the literature reviews and information from Department of Archaeology (hereinafter, DoA) and Lumbini Development Trust (hereinafter, LDT) as the basic information.

Table 1. The Chronological Exploration in the Greater Lumbini

Year	International and National Archaeologists (Nationality)
1896	Anton Fuhrer (German) ²⁾
1899	Purna Chandra Mukherji (Indian) ³⁾
1962	Debala Mitra (Indian) ⁴⁾
1972	Baku Krishna Rijal (Nepalese) ⁵⁾
1977	Tara Nanda Mishra (Nepalese) ⁶⁾
1997	Giovanni Verardi (Italian) ⁷⁾

3.2 Recording the Sites (STEP 2)

With the local archaeologists from DoA and LDT, the surveyors from a local college, and the local guide, we visited all sites and added the sites, which the local archaeologists identified as the archaeological sites, on the list from Step1 (20 January to 10 February 2015 for Kapilbastu, 5 to 18 April 2016 and 20 February to 3 March 2017 for Rupandehi). We had to survey by village to village in Rupandehi since the archaeological sites had not yet identified

enough on the list from Step 1. We recorded visible demarcation of each site as well as its potential area by GPS. Also, we filled the form, which requires the basic information with the description of current condition, and took photos on each site.

No.	Surveyed month/yr	Site Name	Custodianship	Ownership	Previous survey (if any)
1	2/12	Shivaji	Will Dada	Private	
2	2/12	Shivaji	Will Dada	Private	
3	2/12	Shivaji	Will Dada	Private	
4	2/12	Shivaji	Will Dada	Private	
5	2/12	Shivaji	Will Dada	Private	
6	2/12	Shivaji	Will Dada	Private	
7	2/12	Shivaji	Will Dada	Private	
8	2/12	Shivaji	Will Dada	Private	
9	2/12	Shivaji	Will Dada	Private	
10	2/12	Shivaji	Will Dada	Private	
11	2/12	Shivaji	Will Dada	Private	
12	2/12	Shivaji	Will Dada	Private	
13	2/12	Shivaji	Will Dada	Private	
14	2/12	Shivaji	Will Dada	Private	
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16	2/12	Shivaji	Will Dada	Private	
17	2/12	Shivaji	Will Dada	Private	
18	2/12	Shivaji	Will Dada	Private	
19	2/12	Shivaji	Will Dada	Private	
20	2/12	Shivaji	Will Dada	Private	
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23	2/12	Shivaji	Will Dada	Private	
24	2/12	Shivaji	Will Dada	Private	
25	2/12	Shivaji	Will Dada	Private	
26	2/12	Shivaji	Will Dada	Private	
27	2/12	Shivaji	Will Dada	Private	
28	2/12	Shivaji	Will Dada	Private	
29	2/12	Shivaji	Will Dada	Private	
30	2/12	Shivaji	Will Dada	Private	
31	2/12	Shivaji	Will Dada	Private	
32	2/12	Shivaji	Will Dada	Private	
33	2/12	Shivaji	Will Dada	Private	
34	2/12	Shivaji	Will Dada	Private	
35	2/12	Shivaji	Will Dada	Private	
36	2/12	Shivaji	Will Dada	Private	
37	2/12	Shivaji	Will Dada	Private	
38	2/12	Shivaji	Will Dada	Private	
39	2/12	Shivaji	Will Dada	Private	
40	2/12	Shivaji	Will Dada	Private	
41	2/12	Shivaji	Will Dada	Private	
42	2/12	Shivaji	Will Dada	Private	
43	2/12	Shivaji	Will Dada	Private	
44	2/12	Shivaji	Will Dada	Private	
45	2/12	Shivaji	Will Dada	Private	
46	2/12	Shivaji	Will Dada	Private	
47	2/12	Shivaji	Will Dada	Private	
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94	2/12	Shivaji	Will Dada	Private	
95	2/12	Shivaji	Will Dada	Private	
96	2/12	Shivaji	Will Dada	Private	
97	2/12	Shivaji	Will Dada	Private	
98	2/12	Shivaji	Will Dada	Private	
99	2/12	Shivaji	Will Dada	Private	

Table 2. The site list in Kapilbastu



Photo 1. Demarcation of the Visible and Potential boundary

Table 3. The site list in Rupandehi

No.	Surveyed month/yr	Site Name	Custodianship	Ownership	Previous Survey (if any)
1	4/2	Monouri		Government	
2	4/2	Monouri Asgara		Government	P.C.Mukherji, 1990
3	4/2	Kalidoka Saman Mai		Government	Debala Mitra, 1982
4	4/2	Paasia		Government	Debala Mitra, 1982
5	4/2	Amahawa	Private	Private	Debala Mitra, 1982
6	4/2	Madabari Samal Mai		Government	
7	4/2	Madubari		Private	
8	4/2	Prakateshwar	Private	Government	(known)
9	4/2	Motpur		Private	Krishna Bahadur K.C., 2015
10	4/2	Punrikot Dewarua		Government	
11	4/2	Bhujawakot		Government	
12	4/2	Amawakot		Government	
13	4/2	Gadha Matha		Government	
14	4/2	Sudesar	Private	Private	
15	4/2	Marchawari Mai		Government	(known)
16	4/2	Rudrapur	Private	Private	
17	4/2	Lohitani Kotawa		Government	
18	4/2	Kalida		Private	Debala Mitra, 1982
19	4/2	Malang Baba Ki than		Government	
20	4/2	Bhigariya	Private	Private	Debala Mitra, 1982
21	4/2	Pirahawa		Private	Debala Mitra, 1982
22	4/2	Chokkipaderya	Private	Private	P.C.Mukherji, 1999
23	4/2	Khungai Samaya Mai Dada		Private	Debala Mitra, 1982
24	4/2	Sana Muktari		Private	P.C.Mukherji, 1999
25	4/2	Mouhari Kot		Government	
26	4/2	Khamba Dada		Private	
27	4/2	Jilwanpur	Private	Private	
28	4/10	Methani Dada	Private	Private	(known)
29	4/10	Amargang Jamuli	Private	Private	
30	4/10	Tenuhawa	Private	Private	
31	4/10	Mastia Gadidhina	Private	Private	
32	4/10	Konari Dada Sukurbhukawa	Private	Private	
33	4/10	Sadaki Dhugati Shubalya	Private	Government	
34	4/12	Cacharhawa Dada	Private	Private	(known)
35	4/12	Khambha Dada	Private	Private	
36	4/12	Manthadhari Baba Than		Government	(known)
37	4/12	Chanchai Mai		Government	(known)
38	4/12	Pipara Dada	Private	Private	
39	4/12	Chanchai Dada	Private	Private	
40	4/12	Semari Dada	Private	Private	
41	4/12	Dhakdahi Laxmi Nagar Dada		Government	Shantaram Bhilachandra DEO, 1988
42	4/12	Chhigagar Ganesh Temple		Government	Shantaram Bhilachandra DEO, 1988
43	4/12	Lampur Pakaw Dada	Private	Private	
44	4/12	Kotchawa	Private	Private	Shantaram Bhilachandra DEO, 1988
45	4/12	Bhawanipur	DoA LDT	Government	Baku Krishna Rijal, 1972-1973
46	4/12	Dewaha Bani Mai	DoA LDT	Government	Baku Krishna Rijal, 1972-1973
47	4/12	Kana Mai	DoA LDT	Government	Baku Krishna Rijal, 1972-1973
48	4/12	Sankar Nagar Community Forest		Government	
49	4/12	Charki Dada	Community	Community	
50	4/12	Kamruwati		Government	(known)
51	4/12	Khayar Dada		Government	Baku Krishna Rijal, 1972-1973
52	4/12	Dewaha Pond		Government	(known)
53	4/12	Banala Well		Government	(known)
54	4/12	Phulani Dada		Government	(known)
55	4/12	Jitadhi		Government	(known)
56	4/12	Sanamaina		Government	Anton Fulrer, 1896
57	4/12	Parroha Shiva Temple		Government	(known)
58	4/12	Maharajwa Dada		Private	Private
59	4/12	Padaura ---can't identify	Private	Private	Debala Mitra, 1982
60	4/12	Sagahawa	Private	Private	P.C.Mukherji, 1999
61	4/12	Shawapur	Private	Private	Anton Fulrer, 1896
62	4/12	Sarawa Mai Pachabawa		Government	
63	2/20	Hasanapur Kotahani Than		Government	
64	2/20	Doli	Private	Private	
65	2/20	Chandra Lal Shiva Temple		Government	
66	2/20	Sawa Berman Than		Government	
67	2/20	Darbaha Mai		Government	
68	2/20	Narainpur Nareswar Mahadev Temple		Government	Prof. Gita Giri
69	2/20	Sarama Temple Barawa		Government	
70	2/20	Hera Dada	Private	Private	
71	2/20	Kalika Mai		Government	
72	2/20	Mahathawal Shiva Temple		Government	
73	2/20	Maharajwa Dada		Private	
74	2/20	Mahathawal Kotahani Than		Government	
75	2/20	Jagaththa Dada 1	Private	Private	
76	2/20	Jagaththa Dada 2	Private	Private	
77	2/20	Lampur Kotai		Government	Prof. Gita Giri
78	2/20	Lampur Eka Dada	Private	Private	
79	2/20	Sewar Temple Dogana		Government	
80	2/20	Shasadi Dada	Private	Private	
81	2/20	Banari Ancient Well		Government	Prof. Gita Giri
82	2/20	Motpur Ancient Well		Government	Prof. Gita Giri
83	2/20	Kotahani Temple Madhuban		Government	
84	2/20	Kotahani (On Chilya forest) Community Forest		Government	
85	2/20	Khariya Shiva Temple		Government	
86	2/20	Sasadiwa Shiva Temple		Government	Prof. Gita Giri
87	2/20	Sasadiwa Dada	Private	Private	
88	2/20	Kotahani Ghumbara Dada	Private	Private	
89	2/20	Sasadiwa Shakti Pitha		Government	
90	2/20	Dang Nagar Ancient Well		Government	Prof. Gita Giri
91	3/1	Pitali Dada Padan	Private	Private	
92	3/1	Dhulani Nawaru Dada	Private	Private	
93	3/1	Butawal Naranthan Temple		Government	Prof. Gita Giri
94	3/1	Butawal Krishneshwar Mahadev Temple		Government	Prof. Gita Giri
95	3/1	Butawal Subhagyal Temple		Government	Prof. Gita Giri
96	3/1	Sarani Than Dehar Gata		Government	Prof. Gita Giri
97	3/1	Naranpur Ancient Well		Government	
98	3/1	Sekhawanwa Dada	Private	Private	
99	3/1	Sekawanwa Dada	Private	Private	

As a result, we counted 136 sites in Kapilbastu and 99 sites in Rupandehi (Table 2,3). According to the local archaeologist who joined the Verardi's survey in 1997, he found that most of the sites had been damaged or destroyed by cultivation. However, we could still recognize them by several indications. Some pieces of potsherds were noticed on the surface of most sites, which were a little elevated by the remains underneath in the flat landscape of *Tarai* region. Some sites were so prominent like a mound that some visible brickbats on their surface indicate that they have been intentionally shaped. Moreover, two fortified remains were clearly visible.

3.3 Mapping and Database (STEP 3)

The output from the survey (step 2) developed on GIS with up-dated data from the high-resolution images donated by JAXA (Fig. 2,3). Using Microsoft Access software, we also developed the forms into the database. Combing these two, the site catalogues will be published by UNESCO (Fig. 4).

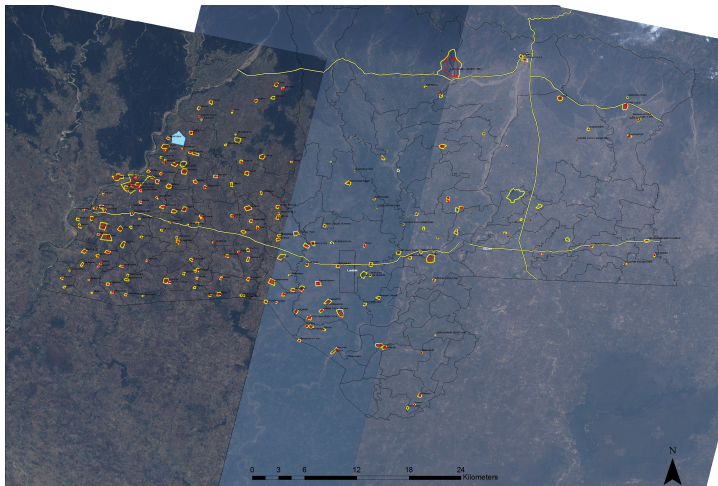


Fig.2 The sites on GIS (136 sites in Kapilbastu, 99 in Rupandehi)

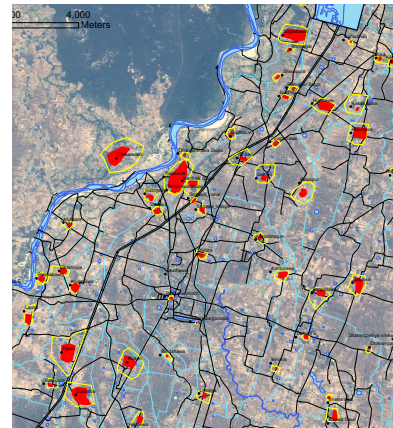


Fig.3 Zoom up map in Tilaurakot Area

Fig. 2 showing the site positions and sizes on GIS as red indicates visible area and yellow indicates its potential area, the sites are found mostly in the southwest region where Tilaurakot, so-called ancient capital city in the Greater Lumbini, was. Whilst we need to wait for future excavations so as to determine them what they have been, it is one of outstanding analysis in order to understand the Greater Lumbini from landscapes. For instance, two fortifications except for Tilaurakot finding with some distances, we can imagine that the region must be divided into some territories. We can also imagine the ancient route from Tilaurakot to Lumbini, where the birthplace of Lord Buddha.

Basic Data	
Site ID	1
Site name	lingha
Location	Banganga
Map Reference	Ward no. 4
Topography	Flat
Classification	<input type="checkbox"/> Yes <input type="checkbox"/> No
Buddhism Importance	<input type="checkbox"/> Yes <input type="checkbox"/> No
Ownership	private
Custodianship	private (→ will DoA)
Regulation by	<input type="checkbox"/> DoA <input type="checkbox"/> Others
Remains	
Bricks	<input type="checkbox"/>
Brickbats	<input checked="" type="checkbox"/>
Potsherds	<input checked="" type="checkbox"/>
Stone Objects	<input checked="" type="checkbox"/>
Terracotta Objects	<input type="checkbox"/>
Metal	<input checked="" type="checkbox"/>
Others	iron ore
Structural Remains	
Building Foundation	<input type="checkbox"/>
Other Foundation	<input type="checkbox"/>
Fortification	<input type="checkbox"/>
Well	<input type="checkbox"/>
Current condition and Description	
Damaged	<input type="checkbox"/>
Destroyed	<input checked="" type="checkbox"/>
<p>The site is south of the road and seems to be a prominent site. It is completely damaged for agriculture. Various crops are grown on the surface of the site, mostly dal plantation. Eastern slope of the mound, there is an irrigation channel, runs north-south. There are many potsherds scattered in the site. The site has a plenty iron ore that indicates some industrial area.</p>	
Map and Pictures	
<p>Legend</p> <ul style="list-style-type: none"> Site location Potential area Visible area Road Water Field 	

Fig.4 Sample of the site catalogue

4. Layer 2: Conservation Planning

Conservation Planning plays a role for protecting sites and their buffer zones. The protection mechanism for the property as an archaeological site in Nepal is based on “Ancient Monument Preservation Act 2013” under DoA. The restriction framework in/around the property and its buffer zone is based on the regulation by Municipality under the Local Self-Governance Act in Nepal, whereas VDC (Village Development Committee) cannot restrict.

4.1 The restriction today

Therefore, we target all Municipalities in Kapilbastu and Rupandehi in order to understand how they recognize the cultural heritage sites and whether they have prepared their plan for protecting sites. In coordination with a local archaeologist from LDT, we visited all offices of Municipality (hereinafter N.P.), two Municipalities in Kapilbastu: Kapilbastu N.P. and Banganga N.P. (Fig.5). Six Municipalities in Rupandehi: Lumbini Cultural N.P., Dewdaha N.P., Tilottama N.P., Sainamaina N.P., Butwal N.P., and Siddharth Nagar N.P. (Fig.6).

As most of Municipalities were formed in 2014, except for Kapilbastu N.P., Butwal N.P. and Siddharth Nagar N.P., the Periodical Plans under these municipalities are on the process of preparation or approval. They are basically for transportation and land use. The Lumbini Cultural N.P., which has the Development Committee under the Ministry, has not yet even started to prepare it. Even though Kapilbastu N.P. has a periodical plan for 2012-2017 with the conservation zone, where the new construction is regulated by one story (Fig.7, 8), it seems not working in practice. Since lack of information, we found that the other municipalities, where un-known archaeological sites were, did not pay attention to them unintentionally.

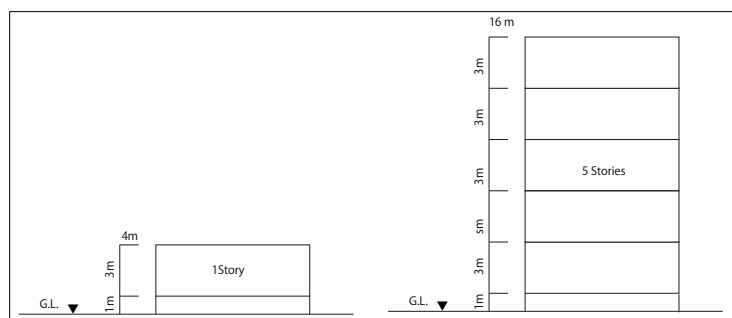


Fig.8 The height restriction in conservation zone(left) and the other(right)

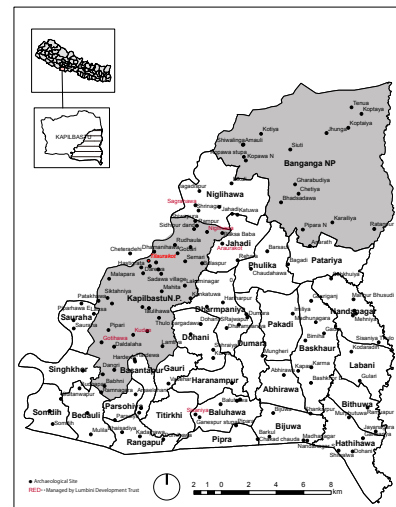


Fig.5 Administrative units in Kapilbastu

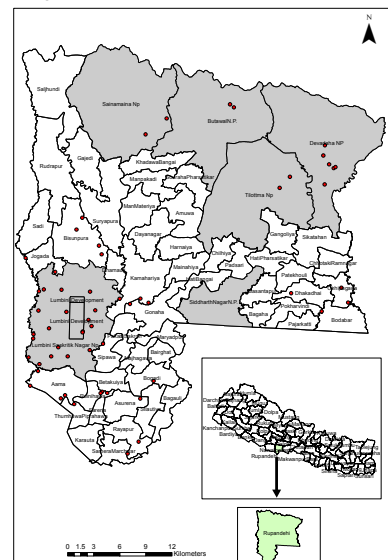


Fig.6 Administrative units in Rupandehi

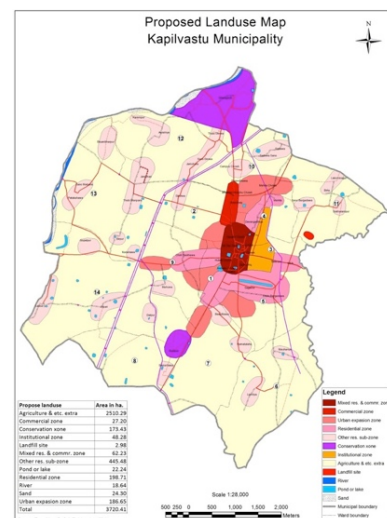


Fig.7 Zoning in Kapilbastu N.P.

4.2 Conservation Planning for Tilaurakot

As above mentioned, Kapilbastu N.P. has started to restrict the height of new buildings within one story by the zoning. The two conservation zones, adjacent Tilaurakot and Kudan, are the ones since 2012. Putting the conservation zone on the GIS data, we found that it does not cover other sites, which we recorded (Fig.9).

Fig.10 is our proposal of conservation planning for Tilaurakot based on both the risk map detailed surveyed by the archaeological team from Durham University and our layer 1. Buffer zone, however, will be determined by more careful archaeological survey near future. Therefore, detailed conservation planning requires collaboration with archaeologists and planners.

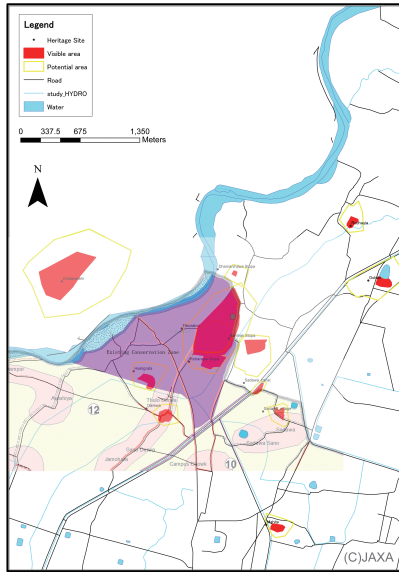


Fig.9 Kapilbastu Municipality Conservation Zone around Tilaurakot (purple)

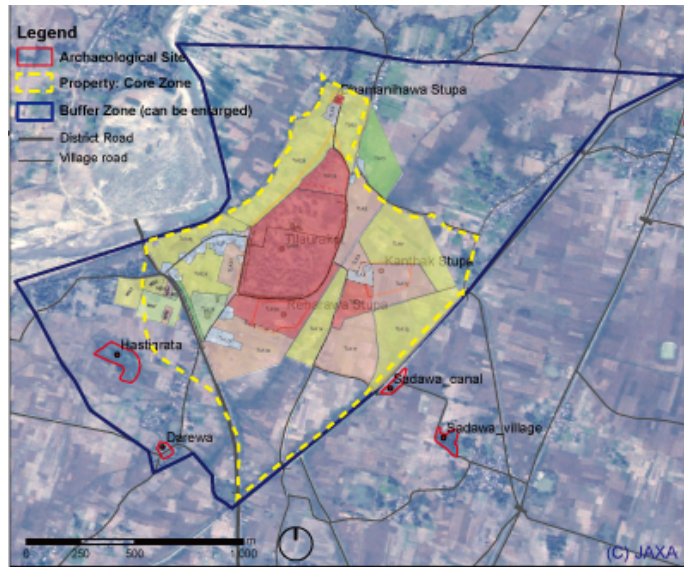


Fig.10 Proposed Conservation Planning for Tilaurakot

5. Layer 3: Development Planning

This is conducted based on layer 1 and 2, above mentioned chapters. Fig.11 shows proposed development planning for around Tilaurakot. Within the walled city of Tilaurakot, the archaeological interpretation map reported by Durham University was used as the base map for the visitor and pilgrim routes (Fig.12).

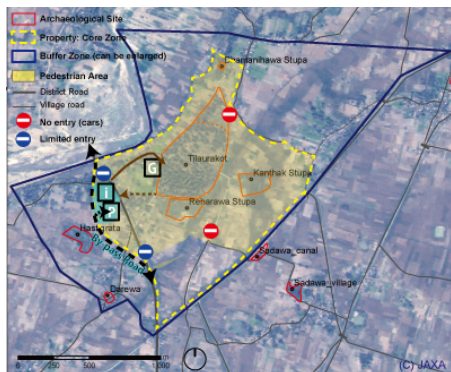


Fig.11 Proposed Development Planning for Tilaurakot

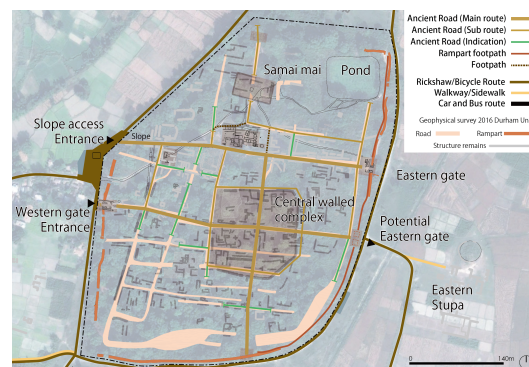


Fig.12 Proposed plan within Tilaurakot (by Dr.Kurose)

6. Conclusion

Referencing the UNESCO Lumbini project in the second phase from 2014 to 2017, this paper illustrated the method of how to integrate archaeological heritages into planning. The on-site survey on the layer 1, contrary to expectations, brought us various perspectives of the region and further collaboration with the archaeological team in the proper tourism. We conclude that the layer 1, which not only contributes to planning but also has the potential to stimulate the regional analysis, is essential before planning. Thus, the study suggests the methodology of integrating archaeological heritages into planning in such a rich archaeological region with this step-by-step approach. The mechanism for implementation of conservation planning and development planning for regional scale remain an issue. We will work on them in the next phase.

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